Congressional Acknowledgements:

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Representative Jim Cooper (TN)
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Representative Louise Slaughter
Representative Howard Coble
Representative Jerrold Nadler
Representative Leonard Lance
Representative Steve Cohen
Representative Marsha Blackburn
Representative Billy Long
Representative Lee Terry
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Representative Tony Cardenas
Representative Collin Peterson
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Representative Wm. Lacy Clay
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Representative Yvette Clarke
Representative David Cicilline
Representative Judy Chu
Representative Jim McDermott
Representative Betty McCollum
Representative Keith Ellison
Representative Chellie Pingree
Representative Madeleine Z. Bordallo
Representative Raul Grijalva
Representative Adam Schiff
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International Executive Board Member Dave Pomeroy, President AFM Local 257
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Laurence Hoffman- Symphonic Services Division
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Dear Member,

This first edition of the AFM’s Complete Guide to Flying with Your Musical Instruments contains useful resources and information that will enable you to navigate the complex world of government regulations that affect musicians’ travel, both domestically and internationally. It contains applications, web links, new regulations and travel tips designed to make your travel experience incident free. If any difficulties are nevertheless encountered, documents are included that should ease your interaction with airline ground and flight crews, customs officials, TSA personnel and others along the way.

Sincerest thanks are in order to AFM Legislative and Political Director Alfonso Pollard, International Executive Board Member and Nashville Local 257 President Dave Pomeroy, Washington D.C. Local 161-710 President Ed Malaga, and National Symphony Orchestra Violist and ICSOM Governing Board Member Jennifer Mondie, who gave considerable time and effort toward our successful campaign to persuade the Department of Transportation to issue final and binding regulations that now impose consistency in policies relating to instrument carryon and checked baggage on US air carriers.

Please familiarize yourself with the contents of this guide. Information is the key to making your travel experience safe when flying with your valuable instruments. We wish you safe travels and we will continue to work tirelessly to advance the interests of professional musicians everywhere.

Sincerely and fraternally yours,

Raymond M. Hair, Jr.
AFM International President
Musical Instruments as Carry–on and Checked Baggage

Guidance from the American Federation of Musicians of the United States and Canada
**LEGAL NOTICE:** Information in this booklet is intended to be used for purposes of general information only. Nothing contained on this page is to be construed as legal advice. The AFM makes no guarantees or warranties of any kind regarding the accuracy of the information in this booklet and therefore disclaims any responsibility for harm that may result from the use of this information. Users are advised to consult with the applicable regulatory authorities listed herein for up-to-date information prior to traveling domestically and abroad with musical instruments.
TRAVEL PLANNING

Whether traveling domestically or internationally, planning is critical to smooth navigation from one location to the next. The AFM’s work with the Department of Transportation, FAA officials, and major and regional airline executives focused not only on safely transporting musical instruments but passenger safety as well. Air carriers maintain a unique set of travel policies and each varies when it comes to flying with a musical instrument. It is important to note that the airline you may use frequently may be one of several that offer the best accommodations depending on your destination and respective transfer points. Musicians may start their travels on mid-sized regional aircraft whose size may not allow for certain types of musical instruments whereas you may eventually transfer to an aircraft that can indeed accommodate your instrument in-cabin. Therefore, it is imperative that you have a detailed conversation with the reservations agent about your instrument and whether it will fit in-cabin.

So, the first step is research. You should use the tools provided here to help determine the progress of your travel. Though this information is not considered legal advice, here are some important tips. Review these research sites before you select your airline.

1. Review AFM Travel Procedures located to the right.
5. Security Tips from the Transportation Security Administration (TSA) [http://www.tsa.gov/traveler-information/musical-instruments](http://www.tsa.gov/traveler-information/musical-instruments)

A. Insure your instrument.
B. Study airline carryon and checked baggage instrument policies with the realization the not all aircraft have overhead bins that fit your instrument. Pack your instrument in a hard case and clearly use identification inside and outside the case.
C. Select your airline
D. Review sites listed in Travel Tips Box
E. Call Airline Customer Service to get answers to your specific questions
F. While booking your reservation, tell agent you will be traveling with a musical instrument
G. Request-purchase priority boarding
H. Pay fees during call with reservation agent. Ask to have all receipts emailed to you.
I. Carry a copy of the DOT Rule as outlined in the Federal Register along on your trip.
J. New DOT Rules apply to all US carriers whether flying domestically or internationally.
K. **Do Not Argue with Flight Crews!** Musicians who encounter problems at the gate or onboard should immediately ask to speak with an airline Customer Service Supervisor or a Complaint Resolution Officer.
L. If you believe the airline has violated its written policies, first file a complaint with the airline. If your issue is not resolved satisfactorily, file a direct complaint with the Department of Transportation at [http://www.dot.gov/airconsumer/air-travel-musical-instruments](http://www.dot.gov/airconsumer/air-travel-musical-instruments)
M. Report any violation of airline policy or damage with airline customer service BEFORE you leave the airport. Check your instrument **before you leave.**
The Federal Register
https://www.federalregister.gov/articles/2015/01/05/2014-30836/carriage-of-musical-instruments#citation-2

The Daily Journal of the United States Government

Rule

Carriage of Musical Instruments

A Rule by the Transportation Department on 01/05/2015

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Agencies: Department of Transportation
Office of the Secretary
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Action: Final rule.
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CFR: 14 CFR 251
Agency/Docket Number:
Docket No. DOT-OST-2014-0231

RIN: 2105-AE37
Document Number: 2014-30836
Shorter URL: https://federalregister.gov/a/2014-30836

Related Topics

- Air carriers
- Consumer protection

Regulations.gov Docket Info

Docket Number DOT-OST-2014-0231
Docket Name Carriage of Musical Instrument
Docket RIN 2105-AE37
Public Comments 0 comments

Action

Final Rule.

Summary

The Department of Transportation is issuing a final rule to implement section 403 of the FAA Modernization and Reform Act of 2012 regarding the carriage of musical instruments as carry-on baggage or checked baggage on commercial passenger flights operated by air carriers. This rule responds to difficulties musicians have encountered when transporting their instruments during air travel.

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DATES:

Effective Date: This rule is effective March 6, 2015.

FOR FURTHER INFORMATION CONTACT:

Clereece Kroha or Blane A. Workie, Office of the Assistant General Counsel for Aviation Enforcement and Proceedings, U.S. Department of Transportation, 1200 New Jersey Ave. SE., Washington, DC 20590, 202-366-9342 (phone), 202-366-7152 (fax), clereece.kroha@dot.gov or blane.workie@dot.gov (email).

SUPPLEMENTARY INFORMATION:

Background:

On February 14, 2012, the FAA Modernization and Reform Act of 2012 (the Act) was signed into law. Section 403 of the Act requires U.S. air carriers to accept musical instruments on their passenger flights either as carry-on baggage or checked baggage, provided that certain conditions are met. The passage of Section 403 is Congress' response to difficulties musicians have encountered when transporting their instruments during air travel. The statute directs the Department of Transportation (Department or DOT) to issue a final rule to implement the requirements set forth in section 403.

During the past year, the Department has been engaged in dialogue with musicians as well as representatives of airlines and industry associations to address the difficulties musicians face when traveling by air with musical instruments. In July 2014, DOT Secretary Anthony Foxx hosted a “Flying with Musical Instruments” meeting to provide airline representatives, musicians, and government officials an opportunity to exchange ideas on ways to prevent or resolve difficulties encountered by musicians when flying with their instruments while still ensuring the safety of passengers and crew. At the meeting, several members of various musician organizations described problems that musicians encounter when traveling by air with their musical instruments, particularly when bringing instruments as carry-on baggage. Airline representatives in attendance described their policies for transport of musical instruments as carry-on or checked baggage. Many airlines have already adopted policies concerning the air transportation of musical instruments that mirror the requirements in Section 403 of the Act. The stakeholders recognized that, while most airlines' current policies regarding musical instruments are consistent
with the statute, frontline customer service agents and flight crew may not always be well-versed in those policies and may not communicate those policies accurately and effectively to musicians. By the same token, the meeting attendees also agreed that many musicians were not very well informed about airline policies regarding transporting musical instruments or about the measures they can take to better prepare themselves to ensure that the transport goes smoothly.

Since then, the stakeholders have voluntarily taken certain steps to better understand the extent of the problem and prevent or minimize confusion over musical instruments as carry-on baggage. The American Federation of Musicians (AFM) has shared with the airline industry a survey it conducted among its members that identified problematic areas when traveling by air with instruments. Airlines for America (A4A), the trade organization for major U.S. airlines, established a page on its Web site that provides a summary of member airlines' baggage policy regarding musical instruments and links to each individual carrier's Web page for that information. The Department has created a Web page providing useful tips and information for consumers on how to prepare for air travel with musical instruments. The Department also convened a follow-up meeting in September 2014, and may conduct additional such meetings to further explore problems facing musicians when traveling by air that are not specifically addressed by the statute. This cooperation between musician organizations and airline representatives as a parallel approach to the Department's rulemaking may achieve the optimal result of ensuring the safe transport of musical instruments by air and increasing efficiency and customer satisfaction.

**Provisions of the Final Rule**

**Covered Entities and Flights**

Section 403 of the Act covers “[a]n air carrier providing air transportation.” According to the definition in 49 U.S.C. 40102(a)(2), “air carrier” means a citizen of the United States undertaking by any means, directly or indirectly, to provide air transportation. 49 U.S.C. 40102(a)(5) provides that “air transportation” includes foreign air transportation or interstate air transportation. Those terms in turn are defined in 49 U.S.C. 40102(a) to mean the transportation of passengers or property by aircraft as a common carrier for compensation. Thus, this final rule implementing Section 403 covers all U.S. certificated and commuter carriers, as well as air taxis operating under exemption authority, that provide air transportation to the public directly, regardless of the size of the aircraft they operate, and all indirect carriers such as public charter operators. It covers the scheduled and charter flights operated by these carriers in domestic or international air transportation. This final rule covers public charter operators only to the extent the public charter operator at issue handles checked and carry-on baggage acceptance for the flight. In this situation, if the carriage of a musical instrument is consistent with the Federal Aviation Administration (FAA)-approved carry-on baggage or checked baggage program of the direct air carrier operating a public charter flight and there is room for the instrument at the time the passenger in question attempts to board, the public charter operator must accept the instrument as carry-on or checked baggage as appropriate.

**Transport of Small Instruments as Carry-On Baggage**

This rule requires that carriers must allow a passenger to carry into the cabin and stow a small musical instrument, such as a violin or a guitar, in a suitable baggage compartment, such as the overhead bin or under the seats in accordance with FAA safety regulations. The FAA regulations require each carrier holding a Part 121 or Part 135 operating certificate to ensure that carry-on baggage is carried on board in accordance with an FAA-approved carry-on baggage program. See 14 CFR 121.285, 121.589, and 135.87. Further, in Advisory Circular (AC) 121-29B, FAA provides 11 categories of information that a carrier's carry-on baggage program must address, including a description of procedures a carrier will follow for stowage of “unusual or fragile items” (See AC 121-29B Section 4(e)(3)). Although not specifically referring to musical instruments, we believe this section is applicable to the transport of musical instruments as they tend to fall into the category of “unusual or fragile items” due to their size, shape, and nature. Section 4(e)(3) provides that if the crew cannot check or stow an unusual or fragile item in a manner that ensures the safety of the aircraft and its occupants, the passenger will have to ship that item by some other means. In complying with this final rule and accepting a passenger's musical instrument as carry-on baggage for stowage in an approved compartment or other specifically approved area in the cabin (e.g., the overhead bin or under seats), we expect carriers to continue to follow their FAA-approved carry-on baggage programs to ensure
the safety of the flight and the passengers and crew onboard. In addition, carriers should adequately inform passengers and the public about the limitations and restrictions imposed by these programs.

Section 403 of the Act and this final rule provide that carriers are required to allow passengers to stow their musical instruments in an approved stowage area in the cabin only if at the time the passenger boards the aircraft such stowage space is available. With the exception of certain disability assistance devices, overhead bins or under seat stowage space is available to all passengers and crew members for their carry-on baggage on a “first come, first served” basis. Accordingly, carriers are not required to remove other passengers’ or crew members’ carry-on baggage that is already stowed in order to make space for a musical instrument. However, this also means carriers are not allowed to require a passenger to remove his or her musical instrument that is already safely stowed (e.g., in the overhead bin) to make room for carry-on baggage of other passengers who boarded the aircraft later than the passenger with the musical instrument. This is true even if the space taken by the musical instrument could accommodate one or more other carry-on items. Because the rule does not require that musical instruments be given priority over other carry-on baggage, we encourage passengers traveling with musical instruments to take steps to board before as many other passengers as possible to ensure that space will be available for them to safely stow their instruments in the cabin. This includes utilizing pre-boarding opportunities that some carriers offer (usually for a fee).

This rule also states that carriers are prohibited from charging passengers with a musical instrument as carry-on baggage an additional fee other than any standard fee carriers impose for carry-on baggage. By including such a requirement in the statute, Congress clearly meant to require carriers to treat musical instruments in the cabin as no different from other carry-on baggage. For example, many carriers’ FAA-approved carry-on baggage programs permit one piece of carry-on baggage plus one personal item such as a purse or a briefcase. If the passenger with the musical instrument already has these two standard items and the musical instrument is the third carry-on item, that carrier may not permit the passenger to board the aircraft with a third carry-on item. As per Federal Aviation Regulations, no air carrier may allow a passenger to board the aircraft with more carry-on items than allowed in that carrier’s FAA-approved carry-on baggage program. Any fees imposed by a carrier for any piece of carry-on baggage is also applicable to a musical instrument carried onboard. This would include a situation where a carrier’s FAA-approved carry-on baggage program allows each passenger two pieces of carry-on baggage but the airline charges a fee for a second piece. If a passenger with a musical instrument already has one piece of (free) carry-on baggage, the airline is permitted to charge its standard fee for a second piece of carry-on baggage even if the second piece is a musical instrument.

Transporting Large Instruments as Carry-On Baggage

For some musical instruments that are too large to fit in the cabin stowage areas described in the carrier’s FAA-approved carry-on baggage program (e.g., an overhead bin or under a seat), it is sometimes possible to secure them to a seat as “seat baggage” or “cargo in passenger cabin” as regulated by 14 CFR 121.285. As FAA Advisory Circular 121-29B: Carry-On Baggage (AC121-29B) and relevant FAA safety regulations do not mandate that a carrier must allow in their carry-on baggage programs the stowage of a large carry-on item on a passenger seat, we do not require in this final rule that those carriers whose programs do not provide such stowage amend their programs to allow it.

We do, however, encourage these carriers to consider modifying their programs to allow the stowage of large musical instruments at passenger seats, provided that all safety requirements are met. Some of the safety requirements have already been incorporated in Section 403 and this final rule, such as the requirement that the instrument must be contained in a case or covered as to avoid injury to other passengers, and the requirement that the instrument including the case or covering cannot exceed 165 pounds or the applicable weight restriction for the aircraft. Other safety requirements contained in FAA regulations that carriers must follow when transporting a musical instrument at a seat include that the item is restrained to the inertia forces in 14 CFR 25.561; it is properly secured by a safety belt or other tie down having enough strength to eliminate the possibility of shifting under all normally anticipated flight and ground conditions; it does not impose any load on seats or the floor structure that exceeds the load limitation for those components; its location does not restrict access to or use of any required emergency or regular exit, or of the aisle in the passenger compartment; and its location does not obscure any
passenger's view of the “seat belt” sign, “no smoking” sign, or required exit sign, unless an auxiliary sign or other approved means for proper notification of the passenger is provided. See 14 CFR 121.285(c) and 14 CFR 135.87(c). Also, when assigning a seat that will be used to transport a musical instrument as cargo in the passenger cabin, carriers must not assign a seat where the instrument may obscure other passengers' view of safety signs that are required to remain visible. In the event a passenger purchases a seat for his or her musical instrument and it is later discovered that the location of the assigned seat is such that the musical instrument may obscure other passengers' view of the “seat belt” sign, “no smoking” sign, or required exit signs, carriers should work with the passenger to determine if any other available seat in that class of service can safely accommodate the musical instrument.

Because carriers must comply with a number of safety requirements, we encourage passengers purchasing a seat for a large musical instrument to provide advance notice to the carrier that the seat is being purchased to transport an instrument and to follow that carrier's policies regarding the transportation of the musical instrument in the cabin. Carriers whose carry-on baggage programs allow such stowage should ensure that their reservation agents and airport agents are trained to provide appropriate seat assignments to the passenger and the instrument to ensure compliance with safety requirements, and that their crews are trained and have the appropriate restraining device for securing the instrument to the seat.

With respect to the cost to a passenger to transport a musical instrument on a passenger seat, assuming all of the safety requirements are met, carriers cannot charge the passenger more than the price of a ticket for the additional seat—for example, by adding on a fee specifically for transporting a musical instrument. However, this does not preclude carriers from charging standard ancillary service fees. For example, to the extent carriers charge a fee for an advance seat assignment, and the passenger requests advance seat assignments for him or herself and for the instrument, the carrier may charge the advance seat assignment fee for each seat assignment.

Transporting Large Instruments as Checked Baggage

As mandated by the Act, this rule requires carriers to accept musical instruments in the cargo compartment as checked baggage if those instruments comply with the size and weight limitations provided in Section 403 and FAA's safety regulations. As Section 403 is silent on the charges carriers may impose on transporting musical instruments in the cargo compartment, and we recognize that carriers' cost in transporting baggage and cargo is directly related to its size and weight, consistent with the clear intent of the Act, we conclude that carriers may impose the same checked-baggage charges that apply to other checked baggage of that size and weight. If a musical instrument exceeds the size or weight limits in the carrier's free baggage allowance but does not exceed the size or weight limits of Section 403, the carrier may assess the same over-size and over-weight charges that are applicable to other checked baggage that is over-size or over-weight.

Good Cause for Issuing Rule Without Prior Notice and Comment:

Section 553 of the Administrative Procedure Act (5 U.S.C. 553) provides that when an agency, for good cause, finds that notice and public procedure are impractical, unnecessary, or contrary to the public interest, the agency may issue a final rule without providing notice and an opportunity for public comment (5 U.S.C. 553(b)(B)). The Department has determined that there is good cause to issue this final rule without notice and an opportunity for public comment because such notice and comment would be unnecessary. This rule implements Section 403 of the FAA Modernization and Reform Act by incorporating the statutory language virtually verbatim and without interpretation. Since the Department is exercising no discretion in issuing this rule, public comment is unnecessary.

Regulatory Analyses and Notices

A. Executive Order 12866 (Regulatory Planning and Review) and DOT Regulatory Policies and Procedures
The Department has determined that this action is not a significant regulatory action within the meaning of Executive Order 12866, and within the meaning of the Department of Transportation's regulatory policies and procedures. The Department is issuing a final rule to implement section 403 of the FAA Modernization and Reform Act of 2012 (Pub. L. 112-95, 49 U.S.C. 41724) regarding the carriage of musical instruments as carry-on baggage or checked baggage on commercial passenger flights operated by air carriers. In this section, we present information on current carrier policies about transporting musical instruments, provide data on various categories of beneficiaries from the rule, and estimate the costs to U.S. carriers to modify or develop instrument policies that comply with rule requirements.

**Current Carrier Policies**

Currently, most large U.S. carriers post specific policies on musical instrument carriage on their Web sites. We reviewed these policies and found that the specific size (length plus width plus height) and weight limitations for instruments carried as checked baggage vary substantially among carriers with published policies. Some carriers have maximum case size limits—typically combined dimensions of 115”, although one carrier allows cases with maximum dimensions of 126”. The threshold size for oversized baggage charges varies from 62” to 90”, depending on the carrier. Maximum weight limits for checked baggage also vary from 70 to 100 pounds among large U.S. carriers with stated weight limits. However, there are several carriers that post policies without specified limits on case size or weight.

Case dimensions and instrument plus case weights were compiled from Amazon.com and other Web sites to assess the extent to which current policies would potentially bar musicians from traveling with certain types of instruments. Hard cases for tubas exceed the 90” combined dimensions, a threshold at which some carriers charge for oversize baggage, but they are typically under the 115” size that some carriers have established as the current maximum allowable size for checked baggage. Cases for (stringed) double basses are larger than the 115” size limit, and these instruments may also be sufficiently large to preclude them from being flown as carry-on baggage in a separately purchased seat. It therefore appears that nearly all large U.S. carriers would need to modify their current instrument transport policies to take into account the Act’s and rule’s requirements.

While individual musicians and smaller groups are likely to travel most frequently on scheduled flights, larger ensembles and orchestras may find it more efficient to travel together on chartered flights. None of the largest U.S. charter carriers post specific policies about musical instruments or, for the most part, general baggage policies, on their Web sites.

**Benefits**

Beneficiaries of the rule would include professional and amateur musicians who travel with instruments, particularly large instruments that may be subject to more restrictive transportation limits under current carrier policies. Increased ability of these musicians to travel with their instruments could also potentially benefit owners and employees of establishments hosting musical events and people who attend events at which these musicians would be more likely to be able to play.

Estimates of the numbers of professional musicians employed by others are available from the May 2013 BLS Occupational Employment Survey (OES), the 2012 Economic Census, and the 2011 Statistics of U.S. Business (SUSB). The May 2013 OES data indicate that there were 39,260 professional musicians and singers with mean hourly wage of $32.10. Wage data from the OES and payroll data from the OES Census and SUSB are consistent with a daily wage of between $211 and $257, assuming that the average musician performs approximately 180 days annually. Aggregate earnings for professional musicians employed by others are estimated at about $1.5 billion annually. It would be reasonable to assume that professional musicians travel by air at the same rate as the general flying public—an average of 1-2 round trips per year—but there are no data on the distribution of instruments that musicians currently transport or would like to be able to transport on these trips.

A broader measure of the number of professional musicians is available from the Current Population Survey (CPS), which includes self-employed and part-time workers in its estimate of “musicians, singers, and related
workers.” Assuming that the share of related workers (music composers and directors) is the same as in the OES data, there were approximately 127,000 employed musicians in 2013.

The monetary value of the benefit that a professional musician would receive from consistent carrier policies that comply with the rule could not be estimated. This value depends on at least three factors that could not be quantified:

1. The distribution of instrument played by professional musicians.

2. The extent to which musicians currently encounter difficulties in carrying or checking instruments on the carriers and routes he or she wishes to travel on.

3. The extent to which any loss of income from not being able to perform at events that require air travel could be mitigated by additional performances at destinations or facilities for which instrument transportation is not a problem.

Amateur musicians would also benefit from the proposed rule. This group includes a large number of school age children and their music teachers. School age children who play instruments could reasonably be expected to travel with them if possible—both to perform at out-of-town events and on trips to visit family members. The National Center for Education Statistics Schools and Staffing Survey (SASS) estimates that there were nearly 117,000 public school teachers whose primary assignment was music instruction during the 2011-2012 school year. The number of children who play musical instruments was estimated by assuming that half of these teachers are instrumental music teachers and that each of them teaches an average of 100 students each week. Under these assumptions, there are approximately 5.8 million school age children who play musical instruments. However, it is not possible to monetize the value of the additional practice and performance time that school age musicians may be able to have if their ability to transport instruments by air is enhanced under the rule requirements.

Costs

The rule would require most covered carriers with specific policies about transportation of musical instruments to modify these policies to comply with the rule requirements; update written, electronic, and phone guidance provided to customers; and ensure that gate agents, flight crews, and baggage handlers are aware of these requirements. Covered carriers that do not currently have policies for the transportation of musical instruments would have to develop policies that comply with the rule requirements; prepare materials on these policies in written and electronic form; and train employees about these requirements.

Carriers routinely update their baggage fees and policies, as well as other aspects of their customer service plans. Costs for developing or revising customer service plans (CSPs) were estimated for a 2011 rule (“Enhancing Airline Passenger Protections II”). The accompanying regulatory evaluation estimated that it would cost large carriers with existing service plans an average of $35,000 to develop compliant CSPs. Per-firm costs for smaller carriers—many of which did not have formal CSPs at the time the rule was promulgated—were estimated at about $4,000 per firm. Based on tabulations from the BTS T-100 data for 2013 and our review of carrier Web site information, there are 12 large carriers that currently have specific musical instrument carriage plans and 86 other U.S. carriers that have less specific or no specific policies about transporting musical instruments. Using the per-carrier cost estimates from the previous regulatory evaluation, modifying or developing musical instrument carriage policies is expected to cost about $732,000.

Counter agents, gate agents, and baggage acceptance personnel will need to be informed of the new requirements, and periodic reminders or audits may need to be conducted to ensure compliance with compliant musical instrument transportation policies. Because only larger carriers are required to file employment information with the BTS on form P-10, data on industry employment was obtained from the 2013 BLS OES. Four categories of employees appear to be most likely to require training on compliant musical instrument transportation policies:
Baggage and gate operations managers  
Counter and gate agents  
First-line supervisors of these agents  
Baggage acceptance clerks and handlers

However, each of these groups of employees is included within a more general occupational category of employees, most of whom would not require specific training or communication about modified or newly developed musical instrument policies. Based on experience from previous regulatory evaluations, Econometrica developed estimates of the share of each of the four relevant categories of employees from the OES data who would need training. Each of these employees was assumed to require an average of 1 hour of training annually to ensure that they understand and comply with the rule requirements. Training time was valued at the average annual wage rates for each of these four labor categories.[3]

Based on the calculations shown in the table below, we estimate that the annual cost of this training for 98 affected U.S. carriers would be $474,000.

<table>
<thead>
<tr>
<th>BLS occupational category</th>
<th>Total employees</th>
<th>Percent with passenger baggage handling responsibilities</th>
<th>Employees requiring training *</th>
<th>Hourly wage</th>
<th>Annual training cost **</th>
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<td>General and Operations Managers</td>
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<td>24,347</td>
<td>474,023</td>
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* Econometrica, Inc. estimates.
** Assumes one hour of training per employee required annually.

B. Regulatory Flexibility Act

The Regulatory Flexibility Act (5 U.S.C. 601 et seq.) requires an agency to review regulations to assess their impact on small entities unless the agency determines that a rule is not expected to have a significant economic impact on a substantial number of small entities. However, when notice and comment rulemaking is not necessary, the provisions of the Regulatory Flexibility Act do not apply. Nevertheless, the Department has evaluated the effects of this action on small entities and has determined that the action will not have a significant economic impact on a substantial number of small entities. The regulatory requirements imposed by this final rule cover some small entities, but the requirements will not have a significant impact on them because the rule does not require any carrier to modify its FAA-approved carry-on baggage program if that program does not already provide for accepting musical instruments as “cargo in passenger compartment” and to be stowed in a passenger seat. Further, the additional requirements of the rule, such as transporting small musical instruments on the same terms as other carry-on bags, and transporting large musical instruments in seats or as checked baggage for the same fees that are charged to other passengers, do not impose significant costs on carriers. There may also be costs
associated with training airline personnel to ensure that they understand these requirements and adhere to them but these costs are not significant. For these reasons, I hereby certify that this rule will not have a significant economic impact on a substantial number of small entities.

C. **Executive Order 13132** (Federalism)

This final rule has been analyzed in accordance with the principles and criteria contained in Executive Order 13132 (“Federalism”). This final rule does not include any provision that: (1) Has substantial direct effects on the States, the relationship between the national government and the States, or the distribution of power and responsibilities among the various levels of government; (2) imposes substantial direct compliance costs on State and local governments; or (3) preempts State law. States are already preempted from regulating in this area by the Airline Deregulation Act, 49 U.S.C. 41713. Therefore, the consultation and funding requirements of Executive Order 13132 do not apply.

D. **Executive Order 13084**

This final rule has been analyzed in accordance with the principles and criteria contained in Executive Order 13084 (“Consultation and Coordination with Indian Tribal Governments”). Because this final rule does not significantly or uniquely affect the communities of the Indian Tribal governments or impose substantial direct compliance costs on them, the funding and consultation requirements of Executive Order 13084 do not apply.

E. National Environmental Policy Act

The Department has analyzed the environmental impacts of this action pursuant to the National Environmental Policy Act of 1969 (NEPA) (42 U.S.C. 4321 et seq.) and has determined that it is categorically excluded pursuant to DOT Order 5610.1C, Procedures for Considering Environmental Impacts (44 FR 56420, Oct. 1, 1979). Categorical exclusions are actions identified in an agency's NEPA implementing procedures that do not normally have a significant impact on the environment and therefore do not require either an environmental assessment (EA) or environmental impact statement (EIS). See 40 CFR 1508.4. In analyzing the applicability of a categorical exclusion, the agency must also consider whether extraordinary circumstances are present that would warrant the preparation of an EA or EIS. Id. Paragraph 4.c.6.i of DOT Order 5610.1C covers “actions relating to consumer protection, including regulations.”. The purpose of this rulemaking is to implement regulations regarding the carriage of musical instruments as carry-on baggage or checked baggage on commercial passenger flights operated by air carriers. The Department does not anticipate any environmental impacts, and there are no extraordinary circumstances present in connection with this rulemaking.

F. Paperwork Reduction Act

This final rule does not contain any new information collection and therefore is not subject to the Paperwork Reduction Act of 1995 (Pub. L. 104-13, 49 U.S.C. 3501 et seq.).

G. Unfunded Mandates Reform Act

The Department has determined that the requirements of Title II of the Unfunded Mandates Reform Act of 1995 do not apply to this rule.

Issued this 29th day of December 2014, in Washington, DC.

Anthony R. Foxx,

Secretary of Transportation.
List of Subjects in 14 CFR Part 251

- Air carriers
- Consumer protection

For the reasons set forth in the preamble, the Department amends 14 CFR Chapter II by adding a new part 251 to read as follows:

begin regulatory text

PART 251—CARRIAGE OF MUSICAL INSTRUMENTS

- Sec.
- 251.1 Definitions.
- 251.2 Applicability.
- 251.3 Small musical instruments as carry-on baggage.
- 251.4 Large musical instruments as carry-on baggage.
- 251.5 Large musical instruments as checked baggage.

Authority:


§ 251.1 Definitions.

As used in this part:

Certificated air carrier means a U.S. carrier holding a certificate issued under 49 U.S.C. 41102 to conduct passenger service or holding an exemption to conduct passenger operations under 49 U.S.C. 40109.

Commuter air carrier means a U.S. carrier that has been found fit under 49 U.S.C. 41738 and is authorized to carry passengers on at least five round trips per week on at least one route between two or more points according to a published flight schedule using small aircraft as defined in 14 CFR 298.2.

Covered carrier means a certificated carrier, a commuter carrier, an air taxi, or a U.S. indirect carrier operating to, from, or within the United States, conducting scheduled passenger service or public charter service.

FAA means the Federal Aviation Administration, an operating administration of the Department of Transportation.

Indirect carrier means a person not directly involved in the operation of an aircraft who sells air transportation services to the general public other than as an authorized agent of a carrier.

§ 251.2 Applicability.

This part applies to U.S. certificated air carriers, U.S. commuter air carriers, air taxis, and U.S. indirect carriers that operate passenger service to, from, or within the United States.

§ 251.3 Small musical instruments as carry-on baggage.
Each covered carrier shall permit a passenger to carry a violin, guitar, or other small musical instrument in the aircraft cabin, without charging the passenger a fee in addition to any standard fee that carrier may require for comparable carry-on baggage, if:

(a) The instrument can be stowed safely in a suitable baggage compartment in the aircraft cabin or under a passenger seat, in accordance with the requirements for carriage of carry-on baggage or cargo established by the FAA; and

(b) There is space for such stowage at the time the passenger boards the aircraft.

§ 251.4 Large musical instruments as carry-on baggage.

Each covered carrier shall permit a passenger to carry a musical instrument that is too large to meet the requirements of § 251.3 in the aircraft cabin, without charging the passenger a fee in addition to the cost of an additional ticket described in paragraph (e) of this section, if:

(a) The instrument is contained in a case or covered so as to avoid injury to other passengers;

(b) The weight of the instrument, including the case or covering, does not exceed 165 pounds or the applicable weight restrictions for the aircraft;

(c) The instrument can be stowed in accordance with the requirements for carriage of carry-on baggage or cargo established by the FAA;

(d) Neither the instrument nor the case contains any object not otherwise permitted to be carried in an aircraft cabin because of a law or regulation of the United States; and

(e) The passenger wishing to carry the instrument in the aircraft cabin has purchased an additional seat to accommodate the instrument.

§ 251.5 Large musical instruments as checked baggage.

Each covered carrier shall transport as baggage a musical instrument that is the property of a passenger traveling in air transportation that may not be carried in the aircraft cabin if

(a) The sum of the length, width, and height measured in inches of the outside linear dimensions of the instrument (including the case) does not exceed 150 inches or the applicable size restrictions for the aircraft;

(b) The weight of the instrument does not exceed 165 pounds or the applicable weight restrictions for the aircraft; and

(c) The instrument can be stowed in accordance with the requirements for carriage of carry-on baggage or cargo established by the FAA.

end regulatory text

[FR Doc. 2014-30836 Filed 1-2-15; 8:45 am]

BILLING CODE 4910-9X-P
Footnotes

1. It is likely that musicians with relatively compact instruments—e.g., violins, woodwinds—encounter fewer difficulties in transporting instruments by air than do those who play larger instruments, particularly acoustic guitars, cellos, string basses, and tubas. We have assumed for this analysis that the rule requirements would not be sufficient to facilitate air transportation of especially large or heavy instruments such as harps, vibraphones, and tympani.

2. This estimate does not include private school students and children who receive private group or individual lessons but do not have instrumental music classes in public schools. It also does not take into account the proportion of students who play piano and other instruments that carriers would not be responsible for transporting under the rule.

Back to Context

3. Flight attendants may also need to receive some communication and training about the modified or newly developed policies. However, the 2013 OES data do not report the number of flight attendants or their average hourly wage rates.
African Elephant
Ivory & Endangered Species Travel Guidance

Traveling Abroad with Musical Instruments Containing Protected Species Materials
Traveling Musicians - Issues with Instruments

Traveling Through A Designated Port

If your musical instrument contains elephant ivory, tortoiseshell, or another protected wildlife species, you will need to travel through a U.S. Fish and Wildlife Service (FWS) designated port. For a complete list of FWS designated ports, go to http://www.fws.gov/le/designated-ports.html

If your musical instrument contains a CITES-listed plant species, such as Brazilian rosewood, you will need to travel through a USDA-APHIS CITES designated port. For a complete list of USDA-APHIS CITES designated ports, go to http://www.aphis.usda.gov/import_export/plants/manuals/ports/downloads/cites.pdf#page=213&zoom=auto,0,726, Chapter 5, page 5-1.

* AFM’s RECOMMENDATION

Since the US Fish and Wildlife Service or Department of Agriculture may at any time change or update information regarding international travel with musical instruments containing animal or plant materials, we here at the AFM first and foremost strongly encourage our members to contact USFWS Management Authority offices for answers to your specific questions about which materials are affected, fees for travel permits/passports and processing, as well as designated ports through which the musician needs to book travel. The Service’s email address is Managementauthority@fws.gov. This is in the AFM’s estimation the best source for up to the minute changes in regulation or policy. This site has been tested and the AFM deems it a safe and reliable government email service. Agency response time is about two days to a week. If our members want to talk directly with a FWS management authority agent, telephone and email contact information can be found here: http://www.fws.gov/international/contact-us.html
**USEFUL LINKS**

CITES.org

- CITES is the Convention on International Trade in Endangered Species of Wild Fauna and Flora which "subjects international trade in specimens of selected species to certain controls".

- LIST OF SPECIES: CITES has published a list of internationally protected endangered species. Musicians who own musical instruments containing CITES related materials should be aware that ivory, tortoiseshell, Brazilian rosewood, Pernambuco wood are among the listed items.

- INTERNATIONAL CITES TRAVEL REQUIREMENTS BY COUNTRY: Before traveling to a foreign country with a musical instrument containing CITES-listed materials, musicians applying for a CITES musical passport or permit should also contact CITES Management Authority in destination countries. For a complete list of national CITES management authorities visit the CITES National Contact and information page to ascertain entry and exit requirements relating to your country of destination. Visit: [http://cites.org/eng/cms/index.php/component/cp](http://cites.org/eng/cms/index.php/component/cp)

FWS.gov

- The U.S. Fish and Wildlife Service (FWS) provides appropriate forms necessary to obtain travel permits for musical instruments containing components of endangered species. Specific instructions and guidelines can be found at the following sites.

- OVERVIEW:

FWS Musical Instrument Travel Permit Requirements:
[http://www.fws.gov/international/permits/by-activity/musical-instruments.html](http://www.fws.gov/international/permits/by-activity/musical-instruments.html)

The link includes a comprehensive video on the ban and the necessary steps one should undertake to help avoid possible problems while travelling with musical instruments.

- CITES PERMITS, CERTIFICATES, or MUSICAL PASSPORTS:

  Permits, certificates or passports can take up to 3 months to be issued – Don’t get caught at the last minute!

1. MULTIPLE BORDER CROSSING: “If you are intending to make multiple border crossings with your musical instrument that contains a component parts of CITES or ESA-listed species, you should complete application Form 3-200-88 Pre-Convention, Pre-Act, or Antique Musical Instruments Certificate (CITES, MMPA and/or ESA)”

2. SINGLE BORDER CROSSING: One time import, export, or re-export of Pre-Convention, Pre-Act, or Antique Specimens (CITES, MMPA and/or ESA)

   a) Animal species (e.g. elephant ivory, tortoiseshell) – “If you are intending to make one border crossing (either an export or import) with your musical instrument that contains a CITES or ESA-listed animal species, you should complete application Form 3-200-23 Export of Pre-Convention, Pre-Act, or Antique
Specimens (CITES, MMPA and/or ESA)“

b) Plant species (e.g. Brazilian rosewood, Pernambuco) –“ If you are intending to make one border crossing (either an export or re-export) with your musical instrument that contains APHIS Listed plant species, you should complete application Form 3-200-32 Export/Re-export of Plants (CITES)“

• DESIGNATED PORTS: Listed in the following link are American FWS designated departure and entry ports for musical instruments that contains CITES material: http://www.fws.gov/le/designated-ports.html

APHIS DESIGNATED PORTS:
http://tinyurl.com/lej5krs

• Q&A Guidance on Elephant Ivory and Musical Instruments:
http://tinyurl.com/o2t2crs

* BACKGROUND: WHAT HAPPENED AND WHATS HAPPENING

Since the February 24, 2014 release of the US Fish and Wildlife Service Director’s Order No. 210, the AFM has been active redefining federal policies and procedures that have created new barriers for musicians traveling with instruments containing African elephant ivory and other CITES and US Department of Agriculture APHIS related materials. The new Order was introduced without consultation from affected industry groups including the AFM. For all instrumental musicians traveling abroad and returning to the US with musical instruments affected by the new Order, the AFM wants members to be aware of current regulatory policies that impact your ability to travel uninterrupted with instruments that contain component parts of African Elephant ivory and other CITES related materials. Since there is no clear regulatory path that allows the union to provide a one stop page of comprehensive answers to the issues we confront, we provide this page simply as guidance. Please review it. If you have more specific questions, please check with the USFWS service website relating to Travel with Musical Instruments or write to Managementauthority@fws.gov for detailed answers and travel updates.

Musicians travelling with musical instruments containing African elephant ivory and other CITES component parts are affected by new government directives issued at the beginning of 2014. The American Federation of Musicians is working diligently with the Congress of the United States along with a number of federal agencies including but not limited to the US Fish and Wildlife Service (Department of the Interior), the Animal and Plant Health Inspection Service-APHIS (Department of Agriculture) as well as the President’s Advisory Council on Wildlife Trafficking. Together with other music industry partners, our job is multi-faceted:

• Raise awareness of the negative effect of the USFW Director’s Order on our industry
• Create comprehensive, reliable data that can be used with government officials
• Meet with federal and in some cases state officials to advocate and influence regulatory policy
• Meet with federal officials to help dovetail actions between their agencies to bring about a smoother, more seamless airport experience for traveling musicians
• Galvanize Members of Congress and their staff around our issue
• Offer expert testimony where possible to clarify our goals
Lobby Members of Congress on behalf of musicians to provide useful legislative language for new federal proposals while commenting on proposed language that might prove harmful to musicians

**Understanding the Process:**

Federal controls over travel in and out of the United States are critically important. In these times, national security and air travel safety are first and foremost in the minds of our elected officials and government professionals who have the responsibility every day to look out for the wellbeing of American citizens. In the instant case, the United States is seeking to play a leadership role in international conservation efforts. The job of implementing the National Strategy for Combatting Wildlife Trafficking (National Strategy) rests with the appropriate cabinet members. The Advisory Council on Wildlife Trafficking has the responsibility to meet and confer with the President in this case on African elephant ivory. Recommendations led to the issuance of Director’s Order No. 210 (as amended) which impacts the implementation of CITES related regulations.

*LEGAL NOTICE: Information in this booklet is intended to be used for purposes of general information only. Nothing contained on this booklet is to be construed as legal advice. The AFM makes no guarantees or warranties of any kind regarding the accuracy of the information in this booklet and therefore disclaims any responsibility for harm that may result from the use of this information. Users are advised to consult with the applicable regulatory authorities listed herein for up-to-date information prior to traveling domestically and abroad with musical instruments.*
Have a technical question about traveling with an instrument that contains CITES related-endangered species component parts. The best way to get a factual answer from the federal government is to email Managementauthority@fws.gov. Include the type of materials you believe are a part of your instrument and ask specific questions about the musical instrument permit and about travel requirements. Response takes 2 days to a week.
**Federal Fish and Wildlife Permit Application Form**

**Return to:** U.S. Fish and Wildlife Service
Division of Management Authority (DMA)
5275 Leesburg Pike
Fairfax, VA 22041-5050
1-800-358-9600

**Type of Activity:**
Export or Re-Export of Pre-Convention, Pre-Antique Specimens (CITES, MMPA and/or ESA)

Complete Sections A, B, C, and D of this application. U.S. address may be required in Section C. See instructions for details.

See attached instruction pages for information on how to make your application complete and help avoid unnecessary delays.

### A. Complete if applying as an individual

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<td>Last name</td>
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### B. Complete if applying on behalf of a business, corporation, public agency, tribe, or institution

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<td>Business address (if any)</td>
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<td>Business e-mail address</td>
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### C. All applicants complete address information

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<td>Mailing Address (include if different than physical address, include name of contact person if applicable)</td>
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<td>City</td>
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### D. All applicants MUST complete

1. Attach check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount of $75.00 refundable processing fee. Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee – attach documentation of fee exempt status or outlined in instructions, 50 C.F.R. 13.11(b).

2. Do you currently have or have you ever had any Federal Fish and Wildlife permits? 
   Yes [ ] No [ ]

   If yes, list the number of the most recent permit you have held or that you are applying to renew or issue:

3. Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 17 of the Code of Federal Regulations and the other applicable parts in subchapter D of Chapter 1 of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001.

   Signature (in blue ink) of applicant/person responsible for permit (No photocopied or stamped signatures) Date of signature (month/day/year)

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Please continue to next page
CITES MUSICAL INSTRUMENT APPLICATION FOR MULTIPLE CROSSINGS SAMPLE – (This is not the complete application.) Download the original at [http://www.fws.gov/international/pdf/permit-application-form-3-200-](http://www.fws.gov/international/pdf/permit-application-form-3-200-).

### Federal Fish and Wildlife Permit Application Form

**Type of Activity:**
- Pre-Convention, Pre-Act, or Antique Musical Instruments Certificate (CITES, MMPA and/or ESA)

**Return to:** U.S. Fish and Wildlife Service  
**Division of Management Authority (DMA)**
**Branch of Permits:** MT-1A  
**5275 Leesburg Pike**
**Falls Church, VA 22041-3802**
**1-800-358-2104 or 703-358-2104**

Complete Sections A, B, C, D, and E of this application. U.S. address may be required in Section C, see instructions for details. See attached instruction pages for information on how to make your application complete and help avoid unnecessary delays.

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**C. All applicants complete address information**

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<th>2c. State</th>
<th>2d. Zip code</th>
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**D. All applicants MUST complete**

1. Attach check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount of $75 non-refundable processing fee. Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee. Attach documentation of office acceptaance as outlined in instructions. (50 CFR 13.11(a))

2. Do you currently have or have you ever had any Federal Fish and Wildlife permit?  
   - Yes [ ]  
   - No [x]  
   - No if you list the number of the most current permit you have issued or that you are applying to renew is [ ]

3. Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13 of the Code of Federal Regulations and the other applicable laws in chapter B of Chapter 1 of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001.

Signature (in black ink) of applicant/person responsible for permit (is not photocopied or stamped signature)  
Date of signature (mm/dd/yyyy)

3-200.32  
Rev 02/2014  
Page 1 of 6
APPENDIX
February 11, 2014

The Honorable Anthony Foxx  
Secretary  
U.S. Department of Transportation  
1200 New Jersey Avenue, SE  
Washington, D.C. 20590

Dear Secretary Foxx:

Music has a great impact on our country, both culturally and economically. Our states are home to not only some of the world’s greatest musicians, but also to some of the world’s greatest music venues — attracting millions of people to performances every year. But, with damaged instruments — or without instruments at all — those performances cannot happen and those venues fall silent, as musicians are left without the tools of their trade.

Countless stories have emerged over the years of musicians whose guitars, lutes, flutes and other musical instruments have been damaged because of a patchwork series of airline policies that put these fragile and valuable instruments in danger. Musicians arrive to their destinations only to learn their instruments were lost or find their instruments damaged, with little if any time to replace them before a scheduled performance. This puts livelihoods at risk.

To help keep this from happening, Congress included a provision in the 2012 FAA Modernization and Reform Act (P.L. 112-95) that required the FAA to promulgate rules permitting airline passengers to stow their instruments on board in closets or overhead compartments, or if necessary, purchase an extra seat to store their instrument. As we approach the statutory deadline for that rule, we are disappointed to learn the process has not yet even begun.

We understand the budgetary pinch that many agencies have found themselves in, but the relatively modest cost of promulgating this rule should not have hindered its completion. We urge you to ensure this rulemaking is a priority as you are finalizing your funding decisions for the current and upcoming fiscal year.

Sincerely,

Lamar Alexander
U.S. Senate

Jim Cooper
U.S. House of Representatives
Did your member of Congress sign on to this important letter? If so, we hope you will write a brief note of thanks.
Wm. Lacy Clay  
U.S. House of Representatives

Scott Peters  
U.S. House of Representatives

Carolyn Maloney  
U.S. House of Representatives

Roger Williams  
U.S. House of Representatives

David Cicilline  
U.S. House of Representatives

Jim McDermott  
U.S. House of Representatives

Keith Ellison  
U.S. House of Representatives

Madeleine Z. Bordallo  
U.S. House of Representatives

Adam Schiff  
U.S. House of Representatives

André Carson  
U.S. House of Representatives

Michael Michaud  
U.S. House of Representatives

Marc Veasey  
U.S. House of Representatives

Yvette Clarke  
U.S. House of Representatives

Judy Chu  
U.S. House of Representatives

Betty McCollum  
U.S. House of Representatives

Chellie Pingree  
U.S. House of Representatives

Raul M. Grijalva  
U.S. House of Representatives

c: The Honorable Michael P. Huerta, FAA Administrator